



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tak Kin Chu et al.

Serial No.: 09/853,925

Group Art Unit: 2813

Filed: May 9, 2001

Examiner: Thanhha S. Pham

For: ELECTRONIC DEVICES WITH DIFFUSION BARRIER AND PROCESS  
FOR MAKING SAME

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(c)(1)**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c)(1),

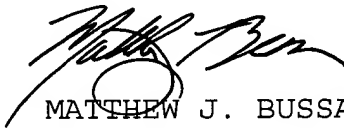
Applicants bring to the attention of the Examiner the documents listed on the attached Substitute Form PTO 1449. The Commissioner is authorized to charge the filing fee of \$180.00 and any additional fees associated with this filing and/or credit any overpayment to Deposit Account No. 50-0967.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Substitute PTO 1449 form.

This submission does not represent that a search has been made or that no better art exists and does not

constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claims in the application and Applicant determined that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Patent Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,



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10. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

Note: 37 CFR 1.56(b) states: "Disclosures ... may be made to the Office though an attorney or agent having responsibility for the preparation or prosecution of the application or through an inventor who is acting on his or her own behalf."

The person making this statement is

(Check each applicable item (a) and (b))

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
Type name of inventor who is signing

- (b) ☒ the attorney who signs below on the basis of:  
(Check each applicable item)

☐ the information supplied by the inventor(s)

☐ which has been reviewed by the attorney

☐ which has **not** been reviewed by the attorney

☒ the information in the attorney's file

 07.14.2003  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY

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Office of Counsel (Patents) (CODE XDC1)

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